

Declaration



Sahyadri Shikshan Sanstha's
Arts and Science College Sawarde



(B.A, B.Com., B.M.S)

(Affiliated to University of Mumbai)

A/p Sawarde Tal. Chiplun Dist. Ratnagiri 415606 (MH)

Office: (02355) 295415

E-mail: artscollegesawarde@rediffmail.com

Ref no.: SSSASCS/

Date:


16/04/2024

Declaration

This is to declare that the information, report, true copies of the supporting documents, numerical data, etc. submitted/presented in this file is verified by Internal Quality Assurance Cell (IQAC) and is correct as per the record. This declaration is for the purpose of NAAC accreditation of HEI for 1st Cycle period of 2018-19 to 2022-23.


IQAC Coordinator




Principal
Arts & Science College, Sawarde
Tal. Chiplun, Dist. Ratnagiri

ISO

Quality

Management

System Audit



Certificate of Registration

Sahyadri Shikshan Sanstha's ARTS AND SCIENCE COLLEGE SAWARDE

At Post Sawarde, Tal. Chiplun, Dist: Ratnagiri- 415 606, Maharashtra, India.

Certify that the Quality management system of the above organization has been audited and found to be in accordance with the requirements of standard detailed below.

ISO 9001 : 2015

QUALITY MANAGEMENT SYSTEM

Providing Educational Services, B.A, B.Com, and B.M.S. degrees.

This certification was conducted in accordance with the EINZIGARTIG INTERNATIONAL CERTIFICATIONS PRIVATE LIMITED. auditing and Certification procedures and certificate shall remain valid subject to yearly surveillance audits.

Certificate No. : Q - 2607910110

Client ID : EICPL-EG-910110

Date of Initial Registration : 26/07/2021

Re - Certification Due Date : 25/07/2024

* After successful completion of annual surveillance audit, new certificate will be issued.

The authenticity of this document may be re-affirmed by writing to www.eicplglobal.com. Lack of fulfillment of conditions as set out in the Certification Agreement may render this certificate invalid. Any alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of law.



Director

Growing Together...

Egyptian Accreditation Council
Kornish El-Maadi, Riad El-Maadi,
Tower 1 - Cairo - Egypt
Tel. 202 - 25275224 / 5 / 7 | info@egac.gov.eg

EINZIGARTIG INTERNATIONAL CERTIFICATIONS PVT. LTD.
05, Jeevansathi Housing Society, B/h Vandana Park, Indiranagar,
Nashik - 422009, Maharashtra, India. | www.eicplglobal.com
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**ACADEMIC
YEAR
2021-22**



**ASSESSMENT REPORT
(Quality Management System Audit)**

of

ISO 9001 : 2015

For

Sahyadri Shikshan Sanstha's

ARTS AND SCIENCE COLLEGE SAWARDE

Client Identification No: EICPL-EG-910110

AUDIT DATE: 11.07.2022



**EINZIGARTIG INTERNATIONAL
CERTIFICATIONS PRIVATE LIMITED**

Corporate Office: 5, Jeevan- sathi, Behind Vandana Park, Indiranagar,

Nashik - 422 009, Maharashtra, India.
Ph +91 253 – 2321515 mob +91 9096326666
mail: info@eicplglobal.com.
Web.: www.eicplglobal.com



REPORT INITIAL PART

AUDIT TYPE(conducted at client site/sites)	Certification stage 2 <input type="checkbox"/> Surveillance <input checked="" type="checkbox"/> Re-certification <input type="checkbox"/> Scope Change <input type="checkbox"/> Address Change <input type="checkbox"/> Special Audit <input type="checkbox"/>			
Audit Standards	ISO 9001 : 2015			
COMPANY NAME	ARTS AND SCIENCE COLLEGE SAWARDE			
MAIN OFFICE ADDRESS	At Post Sawarde, Tal. Chiplun, Dist: Ratnagiri- 415 606, Maharashtra, India.			
OTHER ADDRESS	At Post Sawarde, Tal. Chiplun, Dist: Ratnagiri- 415 606, Maharashtra, India.			
Contact Information	Mob: 8805433035 / 8779554464 / 9284462517 Landline No: (02355)295415		MAIL: artscollegesawarde@rediffmail.com/ iqac.acsawarde@gmail.com Web: sahyadriseniorcollegesawarde.com/	
TOP MANAGEMENT	Mr. Tanaji Yallapa Kamble (Principal)		REPRESENTATIVE Mr. Prasad kadam	
Top Management :02	Staff 05	Worker 11	Temp Employee 02	Total 18
Audit Objectives & Criteria	Objective: To verify that the management system continuous to be implemented & conformed continued Conformity with certification / registration Requirement with applicable standard. Criteria: Set of policies, Procedure and requirements Quality manual, Procedure Manual, System Procedure, customer specification & Requirements, applicable Statuary and regulatory requirements.			
AUDIT SCOPE	Providing Educational Services, B.A, B.Com, and B.M.S. degrees.			
Accreditation	EGAC			
Statutory/ Regulatory Requirements	Affiliation ID: Aff/ICD/2023-24/2611, Aff/ICD/2019-20/908, Aff/ICD/2021-22/334, Rcong.I/ONC/5447			
EA/NACE	Allocated code is verified with scope (Yes/NO)		EA CODE :37 NACE CODE:	
Audit Date	Audit Start Date: 11.07.2022 Audit End Date : 11.07.2022		Audit Start Time: 9.30 Audit End Time:17.30 Audit Man/Day:02	
AUDIT TEAM				
LEAD AUDITOR	Mr. Jaywant Pagare			
AUDITOR	Ms. Snehal Dhengle			
OBSERVER	Nil			
TECHNICAL EXPERT	Nil			
The auditor/Audit team don't have self-interest and have signed declaration, which has been confirmed by client. The audit will be conducted on sampling based on available information.				



Verification of previous Audit NC's and Observations: -

NC/OBS Number	Description	Verification and implementation of Correction and corrective action	Remark
	No NCR's		
Conclusion: -Approved			



PART 1 - ASSESSMENT COVERAGE

1.0 Overview:

(Describe in brief about organization and assessment execution, information relating to promoters, customers and services provided by client including the execution of the assessment plan and schedule and its changes if any)

ARTS AND SCIENCE COLLEGE SAWARDE was established by **Sahyadri Shikshan Sanstha's** in June 2001. Mr. Tanaji Yallapa Kamble is the principal of the institute. He is the Philanthropist and a Visionary person, He firmly believed that modern education had to have its roots in strong values. This underlying theme and legacy of ARTS AND SCIENCE COLLEGE SAWARDE was continued and further strengthened by competent Staff.

ARTS AND SCIENCE COLLEGE SAWARDE aims to provide meaningful and relevant education steeped in rich Indian Culture and heritage and rooted in universal religious philosophies of the world. At the same time, it strives to emphasize both the liberal and professional aspects of higher education in the continuously evolving business World. ARTS AND SCIENCE COLLEGE SAWARDE provides educational opportunities to all students to discover and disseminate knowledge in order to serve communities around the world.

Vision

The college is committed to impart quality, activity-oriented and value-based education to all, especially to the rural, hilly regional and socio-economically deprived students, to make them self-reliant and responsible citizens of the nation.

Mission

1. To provide value based, quality assured, and activity-oriented education.
2. To build a broad educational and cultural sense among hilly rural residents.
3. To motivate students for advanced studies.
4. To strengthen the rural and hilly region students through curricular and extra- curricular activities.

Philosophy

Enhancing Knowledge Building Careers Begins from the academia and continues through industry interactions, seminars, conferences, workshops and research. Approach that goes beyond a job to career the art of amalgamating the various talents and qualities in a person and directing it towards the goal of professional success.

Values

- Encourage and expect honesty, freedom, transparency, and the highest ethical standards.
- Believe in the profession of teaching, respect to dignity of all persons, honoring the unique contributions provided by a diversity of perspectives and cultures.
- Seek quality improvement by teamwork.
- Encourage and support innovation, best practices, imagination, creativity, excellence and vision.

Quality Objectives

- Strong Ties
- Encourage Research
- Communication Facilities
- Continuous Improvement

2.0 Outsourced Process if any: (Describe in brief and its control if applicable)

Since institute is involved in education sector no outsourced process is involved as per their scope



3.0 General Compliance. Strengths and Weaknesses

General Compliance

ARTS AND SCIENCE COLLEGE SAWARDE provided thorough evidence in timely manner during the assessment processes. Therefore the documented management system meets the requirements of ISO 9001:2015. Observations and non-conformities are listed in the report.

Strengths

ARTS AND SCIENCE COLLEGE SAWARDE Has demonstrated a willingness to improve through the fast and thorough response to the observations raised during this assessment. ARTS AND SCIENCE COLLEGE SAWARDE. Has committed top management and well experienced and competent in the

Weakness

Horizontal deployment of management system



4.0 Area for Improvement (Observation/recommendation by Assessment Team)

- a. Library operation to be more precise.
- b. Safety practices to be followed
- c. Housekeeping to be improved
- d. Safety practices to be followed
- e. Admin Activity to be more precise(ex. Leave Sanction)
- f. Multiple web platform is used (no linkage with each other)
- g. Appointment criteria matrix should be more specific and should not get compromise.
- h. Increase quantity of Digital Classroom



5.0 Non-Conformance Summary Report:

1. NONCONFORMANCES * (Summary)						
Clauses	REQUIREMENT		MAJOR		MINOR	
4.0	Context Of The Organization					
4.1	Understanding The Organization And Its Context					
4.2	Understanding The Needs And Expectations Of Interested Parties					
4.3	Determining The Scope Of The Quality Management System					
4.4	Quality Management System And Its Processes					
5.0	Leadership					
5.1	Leadership And Commitment					
5.1.1	General					
5.1.2	Customer Focus					
5.2	Policy					
5.2.1	Establishing The Quality Policy					
5.2.2	Communicating The Quality Policy					
5.3	Organizational Roles, Responsibilities And Authorities					
6.0	Planning					
6.1	Actions To Address Risks And Opportunities					
6.2	Quality Objectives And Planning To Achieve Them					
6.3	Planning Of Changes					
7.0	Support					
7.1	Resources					
7.1.1	General					
7.1.2	People					
7.1.3	Infrastructure					
7.1.4	Environment For The Operation Of Processes					
7.1.5	Monitoring And Measuring Resources					
7.1.5.1	General					
7.1.5.2	Measurement Traceability					
7.1.6	Organizational Knowledge					
7.2	Competence					
7.3	Awareness					
7.4	Communication					
7.5	Documented Information					
7.5.1	General					
7.5.2	Creating And Updating					
7.5.3	Control of documented information					
8.0	Operation					
8.1	Operational planning and control					
8.2	Requirements for products and services					
8.2.1	Customer communication					
8.2.2	Determining the requirements for products and services					
8.2.3	Review of the requirements for products and services					
8.2.4	Changes to requirements for products and services					



Clauses	REQUIREMENT	MAJOR		MINOR	
8.3	Design and development of products and services				
8.3.1	General				
8.3.2	Design and development planning.				
8.3.3	Design and development inputs				
8.3.4	Design and development controls				
8.3.5	Design and development outputs				
8.3.6	Design and development changes				
8.4	Control of externally provided processes, products and services				
8.4.1	General				
8.4.2	Type and extent of control				
8.4.3	Information for external providers.				
8.5	Production and service provision				
8.5.1	Control of production and services Provision.				
8.5.2	Identification and traceability				
8.5.3	Property belonging to customers or external providers				
8.5.4	Preservation				
8.5.5	Post-delivery activities.				
8.5.6	Control of changes				
8.6	Release of products and services				
8.7	Control of nonconforming outputs				
9	Performance evaluation.				
9.1	Monitoring, measurement, analysis and evaluation				
9.1.1	General				
9.1.2	Customer satisfaction.				
9.1.3	Analysis and evaluation.				
9.2	Internal audit.				
9.3	Management review.				
9.3.1	General.				
9.3.2	Management review inputs				
9.3.3	Management review outputs.				
10	Improvement				
10.1	General				
10.2	Nonconformity and corrective action			00	
10.3	Continual improvement.				
	TOTAL NONCONORMANCES		00	00	00



PART 3 - CERTIFICATION PROCESS REQUIREMENT

The requirements identify below refer to the EICPL Certification Manual as per ISO/IEC 17021:2011

6.0 QMS Effectiveness:

SHIKSHANSHATRA MAHAVIDHYALAY, ABHONA has provided thorough evidence in timely manner and Quality Management System is adequately maintained however needs continuous efforts to improve

7.0 Continual Improvement: (Relating to improvement in product, process and Overall QMS of the client)

- Conduction of frequent skill development programs and testing of implementation by students in defined time slots of small practical tests.
- Reach to big industries with local companies for improve students Placement opportunities.

8.0 Customer Satisfaction:

Overall Student satisfaction index is 98%, which is appreciable however need to put continuous efforts in development to maintain improved Student satisfaction index.

9.0 Customer Complaints:

SHIKSHANSHATRA MAHAVIDHYALAY, ABHONA has system of handing the students complaints and DOH / Principal is responsible for the same.

10.0 Specific Customer requirements if any:

All the Department teaching / non-teaching staff are processed as per the AICTE /MSBTE regulations, and all the concerns fulfill (Student/parent/regulatory) specifications/requirements etc. hence the student / Parents requirements are determined at contractual levels and process is monitored at various levels to meet the concerns requirements. Students Parents feedbacks are also collected at regular intervals to meet students requirements constantly and consistently.

11.0 Liability and financing

Insurance

ARTS AND SCIENCE COLLEGE SAWARDE adequately covers liability of its operations. Organization has covered its operational liability by covering the Fire/Theft Insurance.

12.0 Use of Quality Mark: (Application as per Trade Mark License Agreement)

Nil

13.0 Follow Up Action Required by Client:

There is one minor non-conformity reported during these assessments, and few observations reported in this assessment are listed in the report. Hence ARTS AND SCIENCE COLLEGE SAWARDE. is recommended for issue of certificate.



14.0 EICPL Audit Team Recommendation: *(Recommendation by Audit Team & NCR Copies if any)*

ARTS AND SCIENCE COLLEGE SAWARDE has provided all the information for assessment in timely manner and no major failure of Quality Management System is noted in assessment. Documented Management system is effectively implemented and meets the requirements of International Standard ISO 9001:2015. EICPL Team has therefore recommended COLLEGE OF EDUCATION, ABHONA. to issue certification to ISO 9001:2015 subjecting to review of this assessment report by EICPL Certification



15.0 Specific Recommendation for Surveillance Assessment:

Surveillance audit is Mandatory to validate the certificate of registration as per the ISO 17021:2015 and EGAC on or before May 2023

Next Due for Surveillance Audit is May 2023. Kindly contact EICPL Head Office for schedule and continued maintenance of certificate of registration, on below mentioned contact details,

Mobile: +91- 9096326666 Tel: +91-253-22321515

Email: info@eicplglobal.com,

operations@eicplglobal.com

16.0 Major changes reported in QMS: (Site, Scope, Top Management, Name, Address, Product, Process, Technological Change, and Statutory Compliance if any)

No Major changes reported in this assessment, which may impact, on the implemented QMS of Jan 2024, ABHONA

Yes, verified the findings of the previous assessment report by EICPL and there were only observations listed and no non-compliance reported. Observations have also been attended satisfactorily.



17.0 Surveillance Visit Schedule:

SURVEILLANCE VISIT SCHEDULE					
NAME/ LOCATION OF COMPANY	ARTS AND SCIENCE COLLEGE SAWARDE			Reference No: EICPL-EG-910110	
SYSTEM CLAUSES TO BE ASSESSED AT EVERY SURVEILLANCE VISIT:	Quality Management System Management Responsibility Customer Satisfaction Internal Audits Continual Improvement Corrective Action USE OF LOGO – Refer EICPL TMLA				
NUMBER OF PLANNED SURVEILLANCE VISITS: 02 Nos.					
Surveillance Number:	1st	2nd	SA I	SA II	RC
4 CONTEXT OF THE ORGANIZATION	√	√	√	√	√
4.1 UNDERSTANDING THE ORGANIZATION AND ITS CONTEXT	√	√	√	√	√
4.2 UNDERSTANDING THE NEEDS AND EXPECTATIONS OF INTERESTED PARTIES	√	√	√	√	√
4.3 DETERMINING THE SCOPE OF THE QUALITY MANAGEMENT SYSTEM	√	√	√	√	√
4.4 QUALITY MANAGEMENT SYSTEM AND ITS PROCESSES	√	√	√	√	√
5 LEADERSHIP	√	√	√	√	√
5.1 LEADERSHIP AND COMMITMENT	√	√	√	√	√
5.1.1 GENERAL	√	√	√	√	√
5.1.2 CUSTOMER FOCUS	√	√	√	√	√
5.2 POLICY	√	√	√	√	√
5.2.1 ESTABLISHING THE QUALITY POLICY	√	√	√	√	√
5.2.2 COMMUNICATING THE QUALITY POLICY	√	√	√	√	√
5.3 ORGANIZATIONAL ROLES, RESPONSIBILITIES AND AUTHORITIES	√	√	√	√	√
6 PLANNING	√	√	√	√	√
6.1 ACTIONS TO ADDRESS RISKS AND OPPORTUNITIES	√	√	√	√	√
6.2 QUALITY OBJECTIVES AND PLANNING TO ACHIEVE THEM	√	√	√	√	√
6.3 PLANNING OF CHANGES	√	√	√	√	√
7 SUPPORT	√	√	√	√	√
7.1 RESOURCES	√	√	√	√	√
7.1.1 GENERAL	√	√	√	√	√
7.1.2 PEOPLE	√	√	√	√	√
7.1.3 INFRASTRUCTURE	√	√	√	√	√
7.1.4 ENVIRONMENT FOR THE OPERATION OF PROCESSES	√	√	√	√	√
7.1.5 MONITORING AND MEASURING RESOURCES	√	√	√	√	√
7.1.6 ORGANIZATIONAL KNOWLEDGE	√	√	√	√	√
7.2 COMPETENCE	√	√	√	√	√
7.3 AWARENESS	√	√	√	√	√
7.4 COMMUNICATION	√	√	√	√	√
7.5 DOCUMENTED INFORMATION	√	√	√	√	√
7.5.1 GENERAL	√	√	√	√	√
7.5.2 CREATING AND UPDATING	√	√	√	√	√
7.5.3 Control of documented information	√	√	√	√	√
8 Operation	√	√	√	√	√
8.1 Operational planning and control	√	√	√	√	√
8.2 Requirements for products and services	√	√	√	√	√
8.2.1 Customer communication	√	√	√	√	√



Surveillance Number:	1st	2nd	SA I	SA II	RC
8.2.2 Determining the requirements for products and services	√	√	√	√	√
8.2.3 Review of the requirements for products and services	√	√	√	√	√
8.2.4 Changes to requirements for products and services	√	√	√	√	√
8.3 Design and development of products and services	√	√	√	√	√
8.3.1 General	√	√	√	√	√
8.3.2 Design and development planning.	Excluded				
8.3.3 Design and development inputs					
8.3.4 Design and development controls					
8.3.5 Design and development outputs					
8.3.6 Design and development changes					
8.4 control of externally provided processes, products and services	√	√	√	√	√
8.4.1 General	√	√	√	√	√
8.4.2 Type and extent of control	√	√	√	√	√
8.4.3 Information for external providers.	√	√	√	√	√
8.5 Production and service provision	√	√	√	√	√
8.5.1 Control of production and services Provision.	√	√	√	√	√
8.5.2 Identification and traceability	√	√	√	√	√
8.5.3 Property belonging to customers or external providers	√	√	√	√	√
8.5.4 Preservation	√	√	√	√	√
8.5.5 Post-delivery activities.	√	√	√	√	√
8.5.6 Control of changes	√	√	√	√	√
8.6 Release of products and services	√	√	√	√	√
8.7 Control of nonconforming outputs	√	√	√	√	√
9 Performance evaluation.	√	√	√	√	√
9.1 Monitoring, measurement, analysis and evaluation	√	√	√	√	√
9.1.1 General	√	√	√	√	√
9.1.2 Customer satisfaction.	√	√	√	√	√
9.1.3 Analysis and evaluation.	√	√	√	√	√
9.2 Internal audit.	√	√	√	√	√
9.3 Management review.	√	√	√	√	√
9.3.1 General.	√	√	√	√	√
9.3.2 Management review inputs	√	√	√	√	√
9.3.3 Management review outputs.	√	√	√	√	√
10 Improvement	√	√	√	√	√
10.1 General	√	√	√	√	√
10.2 Nonconformity and corrective action	√	√	√	√	√
10.3 Continual improvement.	√	√	√	√	√
Number Of Man-Days Allocated Per Visit	05	02	02	02	02
Number Of Sites	01	01	01	01	01

COMMENTS:

NAME: Mr. Jaywant Pagare

Signature:

DATE: 11.07.2022



PROCESS RISK ASSESSMENT & COMPLIANCE REPORT

Sr. No	Processes/ Activity	Risk Involved	Severity	Risk Management/ Risk Mitigation Tools	Monitoring / Tracking Techniques	Remarks
1	Staff	Language Limit	Medium	Arrange Training for Skill Development	MRM	--
2	Library	Un-availability of proper sorted labeled rack	High	Follow 5'S	MRM	--
3	House keeping	Washroom water leakage	Medium	Plumbing	MRM	--



CONCLUSION

Statement of Conclusion

Based on samples of records verified and feedback obtained from interviewed Managers and Staff members at **At Post Sawarde, Tal. Chiplun, Dist: Ratnagiri- 415 606, Maharashtra, India..** during the stage 2 audit it appears that **ARTS AND SCIENCE COLLEGE SAWARDE** continues to comply with the requirements of ISO 9001 2015. As there was one minor non-conformances identified during the audit, **ARTS AND SCIENCE COLLEGE SAWARDE** is recommended for Certification to ISO 9001 : 2015 The auditor would like to thank all the Staff members & Dr. Kalkotwar, who participated in the audit for their assistance and cooperation. It was a pleasure working with you during the audit.

DISCLAIMER

Some issues, non-compliances or required improvements within the organisation may not have been identified in this report, due to the sampling size and time available during the audit. The organisation's management is responsible for implementing a surveillance system (based on internal audits) to identify non-conformances/continuous improvement opportunities and to take the necessary controls to ensure the quality management system implemented is effective and meets organisational and regulatory requirements.

CONFIDENTIALITY STATEMENT

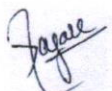

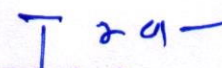

EICPL, its employees, auditors and contractors, shall keep all information relating to your organisation collected during this audit confidential, and shall not disclose any such information to any third party, except that as required by legislation or relevant accreditation bodies. EICPL, its employees, auditors and contractors and accreditation bodies have signed confidentiality agreements and will only receive confidential information as per the requirement of the standards being audited.

Recommendation

According to data included in mentioned report, our certification committee SYSTEM CERTIFICATE

- Issue of Certificate Making Scope Change Continue to use certificate Renewal
 Cancel the certificate Suspend the certificate Continue to suspend position Making
Address Change
 After closing the discrepancies appropriately IMPLEMENTATION OF FOLLOW UP AUDIT
 After closing the discrepancies appropriately GIVING CERTIFICATE WITHOUT FOLOW UP AUDIT
 After closing the discrepancies appropriately CONTINUE TO USE CERTIFICATE WITHOUT
FOLLOW UP AUDIT

Deadline for next audit & Notes; **Jan 2025**

<p>Lead Auditor/Auditor :- Mr. Jaywant Pagare</p> <p>Signature & stamp :-</p>   <p>Date :- 11.07.2022</p>	<p>CEO/ Representative :- Mr. Tanaji Yallapa Kamble</p> <p>Signature & stamp :-</p>  <p>Date :- 11/7/22 Principal Arts & Science College, Sawarde Tal. Chiplun, Dist. Ratnagiri 415606</p> 
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**ACADEMIC
YEAR**

2022-23



**ASSESSMENT REPORT
(Quality Management System Audit)**

of

ISO 9001 : 2015

For

Sahyadri Shikshan Sanstha's

ARTS AND SCIENCE COLLEGE SAWARDE

Client Identification No: EICPL-EG-910110

AUDIT DATE: 10.07.2023



**EINZIGARTIG INTERNATIONAL
CERTIFICATIONS PRIVATE LIMITED**

Corporate Office: 5, Jeevan- sathi, Behind Vandana Park, Indiranagar,

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Ph +91 253 – 2321515 mob +91 9096326666
mail: info@eicplglobal.com.
Web.: www.eicplglobal.com



REPORT INITIAL PART

AUDIT TYPE(Conducted at client site/sites)	Certification stage 2 <input type="checkbox"/> Surveillance <input checked="" type="checkbox"/> Re-certification <input type="checkbox"/> Scope Change <input type="checkbox"/> Address Change <input type="checkbox"/> Special Audit <input type="checkbox"/>		
Audit Standards	ISO 9001 : 2015		
COMPANY NAME	ARTS AND SCIENCE COLLEGE SAWARDE		
MAIN OFFICE ADDRESS	At Post Sawarde, Tal. Chiplun, Dist: Ratnagiri- 415 606, Maharashtra, India.		
OTHER ADDRESS	At Post Sawarde, Tal. Chiplun, Dist: Ratnagiri- 415 606, Maharashtra, India.		
Contact Information	Mob: 8805433035 / 8779554464 / 9284462517 Landline No: (02355)295415 MAIL: artscollegesawarde@rediffmail.com / iqac.acsawarde@gmail.com Web: sahyadriseniorcollegesawarde.com/		
TOP MANAGEMENT	Mr. Tanaji Yallapa Kamble (Principal)	REPRESENTATIVE	Mr. Prasad kadam
Top Management :02	Staff 05	Worker 16	Temp Employee 02 Total 23
Audit Objectives & Criteria	Objective: To verify that the management system continuous to be implemented & conformed continued Conformity with certification / registration Requirement with applicable standard. Criteria: Set of policies, Procedure and requirements Quality manual, Procedure Manual, System Procedure, customer specification & Requirements, applicable Statuary and regulatory requirements.		
AUDIT SCOPE	Providing Educational Services, B.A, B.Com, and B.M.S. degrees.		
Accreditation	EGAC		
Statutory/ Regulatory Requirements	Affiliation ID: Aff/ICD/2023-24/2611, Aff/ICD/2019-20/908, Aff/ICD/2021-22/334, Rccong.I/ONC/5447		
EA/NACE	Allocated code is verified with scope (Yes/NO)	EA CODE :37 NACE CODE:	
Audit Date	Audit Start Date: 10.07.2023 Audit End Date : 10.07.2023	Audit Start Time: 9.30 Audit End Time:17.30	Audit Man/Day:03
AUDIT TEAM			
LEAD AUDITOR	Mr. Jaywant Pagare		
AUDITOR	Ms. Snehal Dhengle		
OBSERVER	Mr. Nilesh Magare		
TECHNICAL EXPERT	Nil		
The auditor/Audit team don't have self-interest and have signed declaration, which has been confirmed by client. The audit will be conducted on sampling based on available information.			



Verification of previous Audit NC's and Observations: -

NC/OBS Number	Description	Verification and implementation of Correction and corrective action	Remark
	No NCR's		
Conclusion: -Approved			



PART 1 - ASSESSMENT COVERAGE

1.0 Overview:

(Describe in brief about organization and assessment execution, information relating to promoters, customers and services provided by client including the execution of the assessment plan and schedule and its changes if any)

ARTS AND SCIENCE COLLEGE SAWARDE was established by **Sahyadri Shikshan Sanstha's** in June 2001. Mr. Tanaji Yallapa Kamble is the principal of the institute. He is the Philanthropist and a Visionary person, He firmly believed that modern education had to have its roots in strong values. This underlying theme and legacy of ARTS AND SCIENCE COLLEGE SAWARDE was continued and further strengthened by competent Staff.

ARTS AND SCIENCE COLLEGE SAWARDE aims to provide meaningful and relevant education steeped in rich Indian Culture and heritage and rooted in universal religious philosophies of the world. At the same time, it strives to emphasize both the liberal and professional aspects of higher education in the continuously evolving business World. ARTS AND SCIENCE COLLEGE SAWARDE provides educational opportunities to all students to discover and disseminate knowledge in order to serve communities around the world.

Vision

The college is committed to impart quality, activity-oriented and value-based education to all, especially to the rural, hilly regional and socio-economically deprived students, to make them self-reliant and responsible citizens of the nation.

Mission

1. To provide value based, quality assured, and activity-oriented education.
2. To build a broad educational and cultural sense among hilly rural residents.
3. To motivate students for advanced studies.
4. To strengthen the rural and hilly region students through curricular and extra- curricular activities.

Philosophy

Enhancing Knowledge Building Careers Begins from the academia and continues through industry interactions, seminars, conferences, workshops and research. Approach that goes beyond a job to career the art of amalgamating the various talents and qualities in a person and directing it towards the goal of professional success.

Values

- Encourage and expect honesty, freedom, transparency, and the highest ethical standards.
- Believe in the profession of teaching, respect to dignity of all persons, honoring the unique contributions provided by a diversity of perspectives and cultures.
- Seek quality improvement by teamwork.
- Encourage and support innovation, best practices, imagination, creativity, excellence and vision.

Quality Objectives

- Strong Ties
- Encourage Research
- Communication Facilities
- Continuous Improvement

2.0 Outsourced Process if any: (Describe in brief and its control if applicable)

Since institute is involved in education sector no outsourced process is involved as per their scope



3.0 General Compliance, Strengths and Weaknesses

General Compliance

ARTS AND SCIENCE COLLEGE SAWARDE provided thorough evidence in timely manner during the assessment processes. Therefore the documented management system meets the requirements of ISO 9001:2015. Observations and non-conformities are listed in the report.

Strengths

ARTS AND SCIENCE COLLEGE SAWARDE Has demonstrated a willingness to improve through the fast and thorough response to the observations raised during this assessment. ARTS AND SCIENCE COLLEGE SAWARDE. Has committed top management and well experienced and competent in the

Weakness

Horizontal deployment of management system



4.0 Area for Improvement (Observation/recommendation by Assessment Team)

- a. Library operation to be more precise.
- b. Safety practices to be followed
- c. Admin Activity to be more precise(ex. Leave Sanction)
- d. Multiple web platform is used (no linkage with each other)
- e. Appointment criteria matrix should be more specific and should not get compromise.
- f. Increase quantity of Digital Classroom



5.0 Non-Conformance Summary Report:

1. NONCONFORMANCES * (Summary)					
CLAUSES	REQUIREMENT		MAJOR	MINOR	
4.0	Context Of The Organization				
4.1	Understanding The Organization And Its Context				
4.2	Understanding The Needs And Expectations Of Interested Parties				
4.3	Determining The Scope Of The Quality Management System				
4.4	Quality Management System And Its Processes				
5.0	Leadership				
5.1	Leadership And Commitment				
5.1.1	General				
5.1.2	Customer Focus				
5.2	Policy				
5.2.1	Establishing The Quality Policy				
5.2.2	Communicating The Quality Policy				
5.3	Organizational Roles, Responsibilities And Authorities				
6.0	Planning				
6.1	Actions To Address Risks And Opportunities				
6.2	Quality Objectives And Planning To Achieve Them				
6.3	Planning Of Changes				
7.0	Support				
7.1	Resources				
7.1.1	General				
7.1.2	People				
7.1.3	Infrastructure				
7.1.4	Environment For The Operation Of Processes				
7.1.5	Monitoring And Measuring Resources				
7.1.5.1	General				
7.1.5.2	Measurement Traceability				
7.1.6	Organizational Knowledge				
7.2	Competence				
7.3	Awareness				
7.4	Communication				
7.5	Documented Information				
7.5.1	General				
7.5.2	Creating And Updating				
7.5.3	Control of documented information				
8.0	Operation				
8.1	Operational planning and control				
8.2	Requirements for products and services				
8.2.1	Customer communication				
8.2.2	Determining the requirements for products and services				
8.2.3	Review of the requirements for products and services				
8.2.4	Changes to requirements for products and services				



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Clauses	REQUIREMENT	MAJOR		MINOR	
8.3	Design and development of products and services				
8.3.1	General				
8.3.2	Design and development planning.				
8.3.3	Design and development inputs				
8.3.4	Design and development controls				
8.3.5	Design and development outputs				
8.3.6	Design and development changes				
8.4	Control of externally provided processes, products and services				
8.4.1	General				
8.4.2	Type and extent of control				
8.4.3	Information for external providers.				
8.5	Production and service provision				
8.5.1	Control of production and services Provision.				
8.5.2	Identification and traceability				
8.5.3	Property belonging to customers or external providers				
8.5.4	Preservation				
8.5.5	Post-delivery activities.				
8.5.6	Control of changes				
8.6	Release of products and services				
8.7	Control of nonconforming outputs				
9	Performance evaluation.				
9.1	Monitoring, measurement, analysis and evaluation				
9.1.1	General				
9.1.2	Customer satisfaction.				
9.1.3	Analysis and evaluation.				
9.2	Internal audit.				
9.3	Management review.				
9.3.1	General.				
9.3.2	Management review inputs				
9.3.3	Management review outputs.				
10	Improvement				
10.1	General			00	
10.2	Nonconformity and corrective action				
10.3	Continual improvement.		00	00	00
	TOTAL NONCONORMANCES				



PART 3 - CERTIFICATION PROCESS REQUIREMENT

The requirements identify below refer to the EICPL Certification Manual as per ISO/IEC 17021:2011

6.0 QMS Effectiveness:

SHIKSHANSHATRA MAHAVIDHYALAY, ABHONA has provided thorough evidence in timely manner and Quality Management System is adequately maintained however needs continuous efforts to improve

7.0 Continual Improvement: (Relating to improvement in product, process and Overall QMS of the client)

- Conduction of frequent skill development programs and testing of implementation by students in defined time slots of small practical tests.
- Reach to big industries with local companies for improve students Placement opportunities.

8.0 Customer Satisfaction:

Overall Student satisfaction index is 98%, which is appreciable however need to put continuous efforts in development to maintain improved Student satisfaction index.

9.0 Customer Complaints:

SHIKSHANSHATRA MAHAVIDHYALAY, ABHONA has system of handing the students complaints and DOH / Principal is responsible for the same.

10.0 Specific Customer requirements if any:

All the Department teaching / non-teaching staff are processed as per the AICTE /MSBTE regulations, and all the concerns fulfill (Student/parent/regulatory) specifications/requirements etc. hence the student / Parents requirements are determined at contractual levels and process is monitored at various levels to meet the concerns requirements. Students Parents feedbacks are also collected at regular intervals to meet students requirements constantly and consistently.

11.0 Liability and financing

Insurance
ARTS AND SCIENCE COLLEGE SAWARDE adequately covers liability of its operations. Organization has covered its operational liability by covering the Fire/Theft Insurance.

12.0 Use of Quality Mark: (Application as per Trade Mark License Agreement)

Nil

13.0 Follow Up Action Required by Client:

There is one minor non-conformity reported during these assessments, and few observations reported in this assessment are listed in the report. Hence ARTS AND SCIENCE COLLEGE SAWARDE. is recommended for issue of certificate.



14.0 EICPL Audit Team Recommendation: *(Recommendation by Audit Team & NCR Copies if any)*

ARTS AND SCIENCE COLLEGE SAWARDE has provided all the information for assessment in timely manner and no major failure of Quality Management System is noted in assessment. Documented Management system is effectively implemented and meets the requirements of International Standard ISO 9001:2015. EICPL Team has therefore recommended COLLEGE OF EDUCATION, ABHONA. to issue certification to ISO 9001:2015 subjecting to review of this assessment report by EICPL Certification



15.0 Specific Recommendation for Surveillance Assessment:

Surveillance audit is Mandatory to validate the certificate of registration as per the ISO 17021:2015 and EGAC on or before May 2024

Next Due for Surveillance Audit is May 2024. Kindly contact EICPL Head Office for schedule and continued maintenance of certificate of registration, on below mentioned contact details,

Mobile: +91- 9096326666 Tel: +91-253-22321515

Email: info@eicplglobal.com,

operations@eicplglobal.com

16.0 Major changes reported in QMS: (Site, Scope, Top Management, Name, Address, Product, Process, Technological Change, and Statutory Compliance if any)

No Major changes reported in this assessment, which may impact, on the implemented QMS of Jan 2024, ABHONA

Yes, verified the findings of the previous assessment report by EICPL and there were only observations listed and no non-compliance reported. Observations have also been attended satisfactorily.



17.0 Surveillance Visit Schedule:

SURVEILLANCE VISIT SCHEDULE					
NAME/ LOCATION OF COMPANY	ARTS AND SCIENCE COLLEGE SAWARDE			Reference No: EICPL-EG-910110	
SYSTEM CLAUSES TO BE ASSESSED AT EVERY SURVEILLANCE VISIT:	Quality Management System Management Responsibility Customer Satisfaction Internal Audits Continual Improvement Corrective Action USE OF LOGO – Refer EICPL TMLA				
NUMBER OF PLANNED SURVEILLANCE VISITS: 02 Nos.					
Surveillance Number:	1st	2nd	SA I	SA II	RC
4 CONTEXT OF THE ORGANIZATION	√	√	√	√	√
4.1 UNDERSTANDING THE ORGANIZATION AND ITS CONTEXT	√	√	√	√	√
4.2 UNDERSTANDING THE NEEDS AND EXPECTATIONS OF INTERESTED PARTIES	√	√	√	√	√
4.3 DETERMINING THE SCOPE OF THE QUALITY MANAGEMENT SYSTEM	√	√	√	√	√
4.4 QUALITY MANAGEMENT SYSTEM AND ITS PROCESSES	√	√	√	√	√
5 LEADERSHIP	√	√	√	√	√
5.1 LEADERSHIP AND COMMITMENT	√	√	√	√	√
5.1.1 GENERAL	√	√	√	√	√
5.1.2 CUSTOMER FOCUS	√	√	√	√	√
5.2 POLICY	√	√	√	√	√
5.2.1 ESTABLISHING THE QUALITY POLICY	√	√	√	√	√
5.2.2 COMMUNICATING THE QUALITY POLICY	√	√	√	√	√
5.3 ORGANIZATIONAL ROLES, RESPONSIBILITIES AND AUTHORITIES	√	√	√	√	√
6 PLANNING	√	√	√	√	√
6.1 ACTIONS TO ADDRESS RISKS AND OPPORTUNITIES	√	√	√	√	√
6.2 QUALITY OBJECTIVES AND PLANNING TO ACHIEVE THEM	√	√	√	√	√
6.3 PLANNING OF CHANGES	√	√	√	√	√
7 SUPPORT	√	√	√	√	√
7.1 RESOURCES	√	√	√	√	√
7.1.1 GENERAL	√	√	√	√	√
7.1.2 PEOPLE	√	√	√	√	√
7.1.3 INFRASTRUCTURE	√	√	√	√	√
7.1.4 ENVIRONMENT FOR THE OPERATION OF PROCESSES	√	√	√	√	√
7.1.5 MONITORING AND MEASURING RESOURCES	√	√	√	√	√
7.1.6 ORGANIZATIONAL KNOWLEDGE	√	√	√	√	√
7.2 COMPETENCE	√	√	√	√	√
7.3 AWARENESS	√	√	√	√	√
7.4 COMMUNICATION	√	√	√	√	√
7.5 DOCUMENTED INFORMATION	√	√	√	√	√
7.5.1 GENERAL	√	√	√	√	√
7.5.2 CREATING AND UPDATING	√	√	√	√	√
7.5.3 Control of documented information	√	√	√	√	√
8 Operation	√	√	√	√	√
8.1 Operational planning and control					
8.2 Requirements for products and services	√	√	√	√	√
8.2.1 Customer communication	√	√	√	√	√



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Surveillance Number:	1st	2nd	SA I	SA II	RC
8.2.2 Determining the requirements for products and services	√	√	√	√	√
8.2.3 Review of the requirements for products and services	√	√	√	√	√
8.2.4 Changes to requirements for products and services	√	√	√	√	√
8.3 Design and development of products and services	√	√	√	√	√
8.3.1 General	√	√	√	√	√
8.3.2 Design and development planning.	Excluded				
8.3.3 Design and development inputs					
8.3.4 Design and development controls					
8.3.5 Design and development outputs					
8.3.6 Design and development changes					
8.4 control of externally provided processes, products and services	√	√	√	√	√
8.4.1 General	√	√	√	√	√
8.4.2 Type and extent of control	√	√	√	√	√
8.4.3 Information for external providers.	√	√	√	√	√
8.5 Production and service provision	√	√	√	√	√
8.5.1 Control of production and services Provision.	√	√	√	√	√
8.5.2 Identification and traceability	√	√	√	√	√
8.5.3 Property belonging to customers or external providers	√	√	√	√	√
8.5.4 Preservation	√	√	√	√	√
8.5.5 Post-delivery activities.	√	√	√	√	√
8.5.6 Control of changes	√	√	√	√	√
8.6 Release of products and services	√	√	√	√	√
8.7 Control of nonconforming outputs	√	√	√	√	√
9 Performance evaluation.	√	√	√	√	√
9.1 Monitoring, measurement, analysis and evaluation	√	√	√	√	√
9.1.1 General	√	√	√	√	√
9.1.2 Customer satisfaction.	√	√	√	√	√
9.1.3 Analysis and evaluation.	√	√	√	√	√
9.2 Internal audit.	√	√	√	√	√
9.3 Management review.	√	√	√	√	√
9.3.1 General.	√	√	√	√	√
9.3.2 Management review inputs	√	√	√	√	√
9.3.3 Management review outputs.	√	√	√	√	√
10 Improvement	√	√	√	√	√
10.1 General	√	√	√	√	√
10.2 Nonconformity and corrective action	√	√	√	√	√
10.3 Continual improvement.	√	√	√	√	√
Number Of Man-Days Allocated Per Visit	05	02	02	02	02
Number Of Sites	01	01	01	01	01
COMMENTS:					
<div style="display: flex; justify-content: space-between; align-items: flex-end; padding: 5px;"> <div style="width: 30%;">NAME: Mr. Jaywant Pagare</div> <div style="width: 30%;">Signature: _____</div> <div style="width: 30%;">DATE: 10.07.2023</div> </div>					



PROCESS RISK ASSESSMENT & COMPLIANCE REPORT

Sr. No	Processes/ Activity	Risk Involved	Severity	Risk Management/ Risk Mitigation Tools	Monitoring/ Tracking Techniques	Remarks
1	Staff	Language Limit	Low	Arrange Training for Skill Development	MRM	--
2	Library	Un-availability of proper sorted labeled rack	Medium	Follow 5'S	MRM	--
3	House keeping	Washroom water leakage	Medium	Plumbing	MRM	--



CONCLUSION

Statement of Conclusion

Based on samples of records verified and feedback obtained from interviewed Managers and Staff members at **At Post Sawarde, Tal. Chiplun, Dist: Ratnagiri- 415 606, Maharashtra, India..** during the stage 2 audit it appears that **ARTS AND SCIENCE COLLEGE SAWARDE** continues to comply with the requirements of ISO 9001 2015. As there was one minor non-conformances identified during the audit, **ARTS AND SCIENCE COLLEGE SAWARDE** is recommended for Certification to ISO 9001 : 2015 The auditor would like to thank all the Staff members & Dr. Kalkotwar, who participated in the audit for their assistance and cooperation. It was a pleasure working with you during the audit.

DISCLAIMER

Some issues, non-compliances or required improvements within the organisation may not have been identified in this report, due to the sampling size and time available during the audit. The organisation's management is responsible for implementing a surveillance system (based on internal audits) to identify non-conformances/continuous improvement opportunities and to take the necessary controls to ensure the quality management system implemented is effective and meets organisational and regulatory requirements.

CONFIDENTIALITY STATEMENT



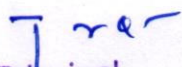

EICPL, its employees, auditors and contractors, shall keep all information relating to your organisation collected during this audit confidential, and shall not disclose any such information to any third party, except that as required by legislation or relevant accreditation bodies. EICPL, its employees, auditors and contractors and accreditation bodies have signed confidentiality agreements and will only receive confidential information as per the requirement of the standards being audited.

Recommendation

According to data included in mentioned report, our certification committee SYSTEM CERTIFICATE

- Issue of Certificate Making Scope Change Continue to use certificate Renewal
 Cancel the certificate Suspend the certificate Continue to suspend position Making
Address Change
 After closing the discrepancies appropriately IMPLEMENTATION OF FOLLOW UP AUDIT
 After closing the discrepancies appropriately GIVING CERTIFICATE WITHOUT FOLOW UP AUDIT
 After closing the discrepancies appropriately CONTINUE TO USE CERTIFICATE WITHOUT
FOLLOW UP AUDIT

Deadline for next audit & Notes; **Jan 2025**

<p>Lead Auditor/Auditor :- Mr. Jaywant Pagare</p> <p>Signature & stamp :-</p>   <p>Date :- 10.07.2023</p>	<p>CEO/ Representative :- Mr. Tanaji Yallapa Kamble</p> <p>Signature & stamp :-</p>  <p>Date :- 10/7/2023 Principal Arts & Science College, Sawarde Tal. Chiplun, Dist. Ratnagiri 415606</p> 
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